

# Dynamics and prime solutions to linear equations

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EMS Lecture Series

Denote by  $\mathbb{P}$  the set of prime numbers  $\mathbb{P} = \{2, 3, 5, \dots\}$ .

Let  $\{\psi_i(\vec{x})\}_{i=1}^k$  be  $k$  affine linear forms in  $n$  variables

$$\psi_i(\vec{x}) = \vec{a}_i \cdot \vec{x} + b_i, \quad \vec{a}_i \in \mathbb{Z}^n, b_i \in \mathbb{Z}$$

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Are there  $\vec{x} \in \mathbb{Z}^n$  such  $\psi_1(\vec{x}), \dots, \psi_k(\vec{x}) \in \mathbb{P}$  ?

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Infinitely many such  $\vec{x}$ ? Asymptotics?

$$\psi(x) = ax + b$$

$k = n = 1$ : If  $a = 1, b = 0$ , then

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Theorem (Euclid ( $\sim 300$  BC) )



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There are  $\infty$  many primes.

Over 2000 years later ...

Theorem (Hadamard, de la Vallée-Poussin (1896))



$$\pi(N) = |\{x \in \mathbb{P}, x \leq N\}| \sim \frac{N}{\log N}$$

More generally:

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Prime number theorem in arithmetic progression

Each legal arithmetic progression gets its fair share: if  $(a, b) = 1$ , then

$$\pi(N, a, b) = |\{x \in \mathbb{P}, x \leq N, x \equiv b \pmod{a}\}| \sim \frac{1}{\phi(a)} \frac{N}{\log N}$$

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**News Flash:** Peter Woit's blog May 12: Yitang Zhang proved

$$\psi_1(x) = x \quad \psi_2(x) = x + M \quad M < 70,000,000 \quad !!!$$



$n = 2, k$  arbitrary

$$\psi_1(x) = x, \quad \psi_2(x) = x + d, \quad \dots, \quad \psi_k(x) = x + (k - 1)d$$

This is an  $k$ -term arithmetic progression



# arithmetic progressions

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$$\psi_1(x) = x, \quad \psi_2(x) = x + d, \quad \dots, \quad \psi_k(x) = x + (k-1)d$$

This is an  $k$ -term arithmetic progression

Theorem (Green-Tao (2004))

*The primes contain arbitrarily long arithmetic progressions.*

The proof gives a lower bound of the correct order of magnitude  $(C \frac{N^2}{(\log N)^k})$ .

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Suppose no 2 forms are affinely dependent. Then

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- $k$  equation  $n \geq k + 2$  variables - **Conditional** Multidimensional Dirichlet. **Best possible dependence** excluding "twin prime case".

## Conditional Multidimensional Dirichlet Theorem

Conditioned on  $MN(s)$ , and  $GI(s)$ , one can calculate the asymptotic number of prime solutions to any system of  $k$  linear equations with integer coefficients in at least  $k + 2$  variables.

## Conditional Multidimensional Dirichlet Theorem

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Remark: The method used by Green and Tao to show the existence of arithmetic progressions in primes **can not** be used to establish asymptotics or to handle non homogeneous equations, since it relies on Szemerédi's theorem which is **invalid** for non homogeneous equations, and can't provide asymptotics.

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Consider the Möbius function

$$\mu(n) = \begin{cases} (-1)^k & \text{if } n = p_1 \cdots p_k, \text{ where } p_i \text{ are distinct primes;} \\ 0 & \text{otherwise.} \end{cases}$$

The Möbius function is related to the normalized prime counting function  $\Lambda(n)$  via an identity arising from the Möbius inversion formula.



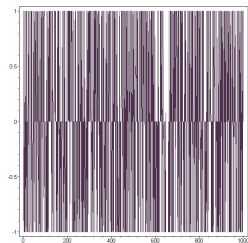
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$$\left| \sum_{n=1}^N \mu(n) \right| \ll_A N(\log N)^{-A}$$





## Theorem (Davenport (1930s))

For any  $\alpha \in [0, 1]$

$$\left| \sum_{n=1}^N \mu(n) e^{2\pi i n \alpha} \right| \ll_A N (\log N)^{-A}$$



## Theorem (Davenport (1930s))

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By the same method: for any polynomial  $P$

$$\left| \sum_{n=1}^N \mu(n) e^{2\pi i P(n)} \right| \ll_A N (\log N)^{-A}$$

We will see soon, that for our purposes, we need similar estimates for **bracket polynomials**. Examples:

$$n^2\alpha, \quad n\alpha\{n\beta\}, \quad n\alpha\{n^2\beta\}, \quad n\alpha\{\{n\beta\}n\gamma\}$$

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### Möbius Nilsequence Conjecture ( $MN(s)$ )

For any **bracket polynomial**  $P$ .

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$\mu$  **does not correlate** with bracket polynomial phase functions !

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### Theorem (Green-Tao (2007))

*Möbius Nilsequence Conjecture is true.*

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What are Gowers norms? Let  $\mathbb{Z}_N = \mathbb{Z}/N\mathbb{Z}$ , and let  $f : \mathbb{Z}_N \rightarrow \mathbb{D}$ .

### Discrete differentiation

Let  $h \in \mathbb{Z}_N$ , define the derivative in direction  $h$  to be

$$\Delta_h f(n) := f(n+h) \overline{f(n)}$$

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- $\Delta_{h_2} \Delta_{h_1} f(n) \equiv 1$  for all  $h_1, h_2 \in \mathbb{Z}_N$  if and only if  $f$  is a linear phase polynomial, i.e.  $f(n) = e^{2\pi i P(n)}$ , where  $P$  is a linear polynomial.

Define the Gowers uniformity norms as follows: Let  $f : \mathbb{Z}_N \rightarrow \mathbb{D}$ .

Gowers norms

$$\|f\|_{U^s[N]}^{2^s} = \frac{1}{N^{s+1}} \sum_{n, h_1, \dots, h_s \in \mathbb{Z}_N} \Delta_{h_s} \cdots \Delta_{h_1} f(n)$$

For  $s > 1$  this is a norm.

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Remarks:

- If  $\|f\|_{U^s[N]} = 1$  then  $\Delta_{h_s} \cdots \Delta_{h_1} f(n) \equiv 1$  for all  $h_1, \dots, h_k \in \mathbb{Z}_N$  thus  $f$  is a **phase polynomial** of degree  $< s$ .

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We will get back to this question soon ...

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This is the number of **3 term progressions** we expect to find in a **random subset** of  $\mathbb{Z}_N$  of size  $\delta N$  !

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So it is REALLY important to find a good way to test whether  $\|f\|_{U^s}$  is small.

# The inverse question

Well ... this brings us back to the inverse question:

The inverse question ( $Gl(s)$ )

What can we say about  $f$ , if  $\|f\|_{U^{s+1}[M]} \gg_{\delta} 1$  ?

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You might be tempted to think inductively, that If  $\|f\|_{U^{s+1}} \gg_{\delta} 1$  then  $f$  **correlates** with a **degree  $\leq s$  phase polynomial** (recall that the converse is true). **This turns out to be false ...**

A counter example (essentially due to Furstenberg and Weiss): Let

$$G = \begin{pmatrix} 1 & \mathbb{R} & \mathbb{R} \\ 0 & 1 & \mathbb{R} \\ 0 & 0 & 1 \end{pmatrix} \quad \Gamma = \begin{pmatrix} 1 & \mathbb{Z} & \mathbb{Z} \\ 0 & 1 & \mathbb{Z} \\ 0 & 0 & 1 \end{pmatrix}$$



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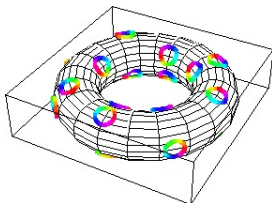
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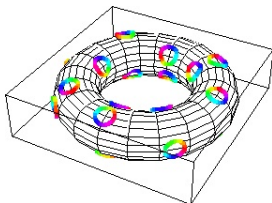
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- Let  $\mathbf{x} = \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \Gamma \in G/\Gamma$ . Consider the function

$$F(\mathbf{x}) = e^{2\pi i(z - \{x\}y)}$$

$F$  is well defined on  $G/\Gamma$ .

Let  $a = \begin{pmatrix} 1 & \alpha & 0 \\ 0 & 1 & \beta \\ 0 & 0 & 1 \end{pmatrix} \in G$ .

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But if  $\alpha, \beta$  are irrational then,  $g$  does not correlate with any quadratic phase function  $e^{2\pi i n^2 \gamma}$  !

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**Polynomial phase functions** of degree  $\leq s$  are only a **small subset** of the set of degree  $\leq s$  nilsequences !

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- For  $s > 2$ , a **COUNTER EXAMPLE** was given by Green-Tao, and independently by Lovett-Meshulam-Samorodnitsky (2007).

Theorem (Green-Tao-Z (2010))

*The Inverse Conjecture for the Gowers Norms is true.*

It follows that the (non degenerate) [Multidimensional Dirichlet Theorem](#) is true unconditionally !

## Möbius Nilsequence Theorem - equivalent formulation $MN(s)$

The Möbius function  $\mu$  does not correlate with bounded complexity nilsequences:

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$$\text{e.g. } \frac{1}{N^2} \sum_{n, d \leq N} \mu(n) \mu(n+d) \mu(n+2d) \mu(n+3d) = o(1)$$

# Sketch of proof of multidimensional Dirichlet:

Consider the von-Mangoldt function

$$\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k \text{ for some prime } p \text{ and } k > 0; \\ 0 & \text{otherwise.} \end{cases}$$



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This is unfortunately **FALSE** (small primes are problematic).

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where  $W = \prod_{p \leq w} p$  and we take  $w = w(N)$  to be a sufficiently slowly increasing function of  $N$ . (The normalization is so that  $\frac{1}{N} \sum_{n \leq N} \Lambda_{b,w}(n) \sim 1$ ).

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**Strategy:** show that if  $(b, W) = 1$  then for any  $s$

$$\|\Lambda_{b,W} - 1\|_{U^{s+1}[N]} \rightarrow 0$$

We then get:

$$\sum_{\vec{x} \in [N]^n} \Lambda_{b,W}(\psi_1(\vec{x})) \cdots \Lambda_{b,W}(\psi_k(\vec{x})) \sim N^n$$



By the [Inverse Theorem for the Gowers Norms](#) it suffices to show that

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To the rescue comes the [Green-Tao transference principle](#), which allows us to push the inverse theorem from bounded functions to function bounded by a [pseudorandom function](#) (this is a whole different story ...).

Don't miss the next lecture:



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Intertwining developments in

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Intertwining developments in [ergodic theory](#)

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Thank you !

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- Inductively for many  $h$ ,  $\|\Delta_h f\|_{U^s[N]} \gg 1 \implies$  for many  $h$ ,  $\Delta_h f$  correlates with an  $(s-1)$ -step nilsequence  $F_h(a_h^n G_h / \Gamma_h)$  of bounded complexity.

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- Inductively for many  $h$ ,  $\|\Delta_h f\|_{U^s[N]} \gg 1 \implies$  for many  $h$ ,  $\Delta_h f$  correlates with an  $(s-1)$ -step nilsequence  $F_h(a_h^n G_h / \Gamma_h)$  of bounded complexity.
- Clever CS: For many  $h_1 + h_2 = h_3 + h_4$  the orbit of  $a_{h_1} \times a_{h_2} \times a_{h_3} \times a_{h_4}$  is not equidistributed in the nilmanifold  $G_{h_1} / \Gamma_{h_1} \times G_{h_2} / \Gamma_{h_2} \times G_{h_3} / \Gamma_{h_3} \times G_{h_4} / \Gamma_{h_4}$ .

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- Integrate (construction: guess a solution).



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